

IN THE UNITED STATES PATENT
AND TRADEMARK OFFICE

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APR 22 2004
PATENT & TRADEMARK

Serial No. : 10/085,570
Applicants : Satoru SHOSHI et al.
Filed : February 27, 2002
For : FILM FOR OPTICAL APPLICATIONS
Art Unit : 2872
Examiner : Joshua L. Pritchett
Docket No. : 02124/HG
Customer No.: 01933
Confirmation No.: 8957

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

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Dorothy DeFrancesco
Dorothy DeFrancesco

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No. 06-1378.

INFORMATION DISCLOSURE STATEMENT

11/2004-TLAWRENC (R) 00000001 061378 10085570
FC:1806

Submitted concomitantly herewith are the following:

- (1) Copies of publications cited during the prosecution of
copending related application Serial No. 10/134,779 filed
April 29, 2002 (Examiner Boss; Art Unit 1775);
- (2) Copy of US 2002/0187324, which is the published
application of Serial No. 10/134,779;
- (3) Copies of the publications cited during the prosecution
of copending related application Serial No. 10/278,273 filed
October 23, 2002 (Examiner Ferguson; Art Unit 1774);
- (4) Copy of US 2003/0104188, which is the published
application of Serial No. 10/278,273; and

Adjustment date: 11/29/2004 SDIRETA1
08/11/2004 TLAWRENC 00000001 061378 10085570
01 FC:1806 180.00 CR

DIVISION

Attorney Docket No. 02124/HG

**IN THE UNITED STATES PATENT
AND TRADEMARK OFFICE**

Applicant(s): Satoru SHOSHI et al

Serial No. : 10/085,570

Filed : February 27, 2002

For : FILM FOR OPTICAL
APPLICATIONS

CERTIFICATE OF MAILING

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Catherine Bacon

Dated: October 6, 2004

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S I R :

Attached hereto is a highlighted copy of our August 2004 Monthly Statement of Deposit Account 06-1378. The \$180 fee, charged on August 11, 2004 (Control No. 1, Fee Code 1806) for an Information Disclosure Statement, is respectfully submitted to be in error for the following reason.

On April 22, 2004, an Information Disclosure Statement was filed concomitantly with a Request For Continued Examination (copies of the first page of said Information Disclosure Statement, the Request for Continued Examination, and the returned postcard are enclosed). The required fee accompanied the Request for Continued Examination, but, no fee was required

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for the "submission" of the Information Disclosure Statement
 according to 37 CFR 1.114(c).

OCT 13 PM 12:04

Therefore, it is respectfully requested that the Patent Office credit the \$180, charged in error on August 11, 2004, to the undersigned's Deposit Account 06-1378.

Respectfully submitted,



Richard S. Barth
 Reg. No. 28,180

Frishauf, Holtz, Goodman & Chick, P.C.
 767 Third Avenue - 25th Floor
 New York, New York 10017-2023
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 Facsimile No. (212) 319-5101
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FRISHAUF, HOLTZ, GOODMAN & CHICK, P.C. FINA
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| 8 | 2 | 04 | 3 | 10785531 | 03672/LH | 1001 | 223.00 | 4752.96 |
| 8 | 2 | 04 | 5 | 10785531 | 03672/LH | 1202 | 324.00 | 4428.96 |
| 8 | 4 | 04 | 53 | 09777437 | 01051/LH | 1806 | -180.00 | 4608.96 |
| 8 | 6 | 04 | 24 | 10254222 | 00068C/LH | 1806 | 180.00 | 4428.96 |
| 8 | 6 | 04 | 51 | 10853726 | 04326/LH | 1051 | 130.00 | 4298.96 |
| 8 | 9 | 04 | 1 | 10623255 | 03427/LH | 1201 | 86.00 | 4212.96 |
| 8 | 11 | 04 | 1 | 10085570 | 02124/HG | 1806 | 180.00 | 4032.96 |
| 8 | 11 | 04 | 112 | 10913983 | 04530/LH | 1001 | 730.00 | 3302.96 |
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| 8 | 19 | 04 | 24 | PCT/US04/26233 | 02624PCT/DH | 1702 | 396.00 | 2174.96 |
| 8 | 19 | 04 | 26 | PCT/US04/26233 | 02624PCT/DH | 1703 | 204.00 | 1970.96 |
| 8 | 20 | 04 | 56 | 10856890 | 04342/LH | 8021 | 40.00 | 1930.96 |
| 8 | 23 | 04 | 12 | 10637348 | 03475/LH | 1460 | -130.00 | 2060.96 |
| 8 | 24 | 04 | 1 | 76484947 | 03017/RPM | 6004 | 150.00 | 1910.96 |
| 8 | 27 | 04 | 2 | 10485899 | 04018/HG | 9204 | -130.00 | 2040.96 |

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Request For Continued Examination (RCE) Transmittal

Address to:
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Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Application Number: 10/085,570
Filing Date: February 27, 2002
First Named Inventor: SATOBU SHOSHI
Art Unit: 2872
Examiner Name: J.L. Pritchett
Attorney Docket Number: 02124/HG

This is a Request for Continued Examination (RCE) under 37 CFR 1.114 of the above-identified application. Request for Continued Examination (RCE) process under 37 CFR 1.114 does not apply to any utility patent application filed prior to June 8, 1995, or to any design application. See Instructions Sheet for RCEs filed to be submitted to the USPTO on page 2.

1. Submission required under 37 CFR 1.114(b)(2): If the RCE is proper, any previously filed amendments and arguments enclosed with the RCE will be entered in the order in which they were filed unless applicant instructs otherwise. If significant does not wish to have any previously filed amendment(s) entered, applicant must request re-entry of such amendment(s).

☐ Previously submitted, if a final Office action is outstanding, any amendments filed after the final Office action may be considered as a submission even if this box is not checked.

☐ Consider the arguments in the Appeal Brief or Reply Brief previously filed on _____

☐ Other _____

☒ Enclosed
I. Amendment/Reply
II. Information Disclosure Statement (IDS)
III. Affidavit/Declaration(s) N. Other _____

2. Miscellaneous
Submission of action on the above-identified application is requested under 37 CFR 1.103(a) for a period of _____ month(s). (Period of submission must not exceed 3 months. The under 37 CFR 1.110 required) Other _____

3. Fees
The RCE fee under 37 CFR 1.176(a) is required by 37 CFR 1.114 when the RCE is filed. To the extent not indicated by check, applicant is given to change any additional fees, or to credit any overpayment, to Deposit Account 06-1279. A description of this feature is enclosed.

☒ RCE fee required under 37 CFR 1.176(a) is enclosed.

☐ Submission of time fee (37 CFR 1.128 and 1.117)

☐ Other _____

☒ Check in the amount of \$ 770. \$ is enclosed

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Signature of Inventor: Richard S. BATH
Signature of Attorney: Richard S. Bath
Signature of Agent: Richard S. Bath
Signature of Examiner: J.L. Pritchett

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